Annex 1

Number of reported export authorisations granted by Member States[[1]](#footnote-1) pursuant to Article 20(2) of Regulation (EU) 2019/125

|  |  |  |
| --- | --- | --- |
|  | *2017* | *2018* |
| **EU** | **292** | **231** |
| Austria | 6 | 6 |
| Czech Republic | 11 | 17 |
| Denmark | 1 | 1 |
| France | 8 | 5 |
| Germany | 187 | 142 |
| Italy | 5 | 2 |
| Netherlands | 46 | 37 |
| Poland | 3 | 0 |
| Portugal | 3 | 1 |
| Slovenia | 1 | 0 |
| Spain | 1 | 3 |
| Sweden | 0 | 2 |
| United Kingdom | 23 | 12 |
| Other Member States | 0 | 0 |

Annex 2

Reported end-use of authorized exports to third countries in 2017, by product category[[2]](#footnote-2)

(see Annexes III and IV to Regulation (EU) 2019/125 for full product descriptions)

|  |  |  |
| --- | --- | --- |
| *EU exports 2017* |  |  |
| Annex III entry | Number of goods or quantity[[3]](#footnote-3) | End-use |
| 1.1 Shackles | 498 | law enforcement (497);manufacturer (1) |
| 1.2 Individual cuffs or rings | 6 | law enforcement |
| 1.3 Spit hoods | 0 | n/a |
| 2.1 Portable electric discharge weapons  | 73 416 | trader (70 000); private security firm (2 600); police (808) |
| 2.2 Kits for assembly of 2.1 | 0 | n/a |
| 2.3 Wide area electric discharge weapons | 0 | n/a |
| 3.1 Portable chemical substance weapons/equipment  | 176 114 | trader (153 000); private security firm (12 612); law enforcement (10 000); defence (500); other (2) |
| 3.2 PAVA | 40 000 ml | police |
| 3.3 Oleoresin capsicum | 0 |  |
| 3.4 Pepper spray | 190 | police |
| 3.5 Fixed chemical substance equipment  | 0 | n/a |
| 3.6 Wide area chemical substance equipment | 0 | n/a |
| **Annex IV entry** |  |  |
| 1.1.(a) amobarbital | 2 ml | laboratories  |
| 1.1.(b) amobarbital sodium salt | 0 |  |
| 1.1.(c) pentobarbital | 7 ml | laboratories |
| 1.1.(d) pentobarbital sodium salt | 516 l | veterinarian use |
| 1.1.(e) secobarbital | 5 mg and 1 ml | laboratories |
| 1.1.(f) secobarbital sodium salt | 0 | n/a |

|  |  |  |
| --- | --- | --- |
| 1.1.(g) thiopental | 181 650 vials, 45.88 kg, 20  mg | medical use (vials), pharmaceutical company (kg), laboratory (mg)  |
| 1.1.(h) thiopental sodium salt | 1 372 100 vials, 224.22 kg, 37 600  ml | medical use and traders (vials), pharmaceutical industry (kg), wholesaler (ml) |
| 1.1 Other barbiturate anaesthetic agent | 2 ml  | hospital |
| Mixtures mentioned in the Annex IV note[[4]](#footnote-4) | 0 | n/a |

Annex 3

Reported end-use of authorized exports to third countries in 2018, by product category[[5]](#footnote-5)

(see Annexes III and IV to Regulation (EU) 2019/125 for full product descriptions)

|  |  |  |
| --- | --- | --- |
| *EU exports 2018* |  |  |
| **Annex III entry** | **Number of goods or quantity[[6]](#footnote-6)** | **End-use** |
| 1.1 Shackles | 555 | law enforcement  |
| 1.2 Individual cuffs or rings | 25 | ‘government’ |
| 1.3 Spit hoods | 0 | n/a |
| 2.1 Portable electric discharge weapons  | 75 039 | traders (75 000) and police (39) |
| 2.2 Kits for assembly of 2.1 | 0 | n/a |
| 2.3 Wide area electric discharge weapons | 0 | n/a |
| 3.1 Portable chemical substance weapons/equipment  | 184 752 | traders (175 000), consumers (7 500), private security firm (1 932), customs (200), police (76), UN (34) |
| 3.2 PAVA | 0 | n/a |
| 3.3 Oleoresin capsicum | 6.1 kg  | food industry (kg) |
| 3.4 Pepper spray | 1445 | private security firm (1 000) and police (445) |
| 3.5 Fixed chemical substance equipment  | 0 | n/a |
| 3.6 Wide area chemical substance equipment | 0 | n/a |
| **Annex IV entry** |  |  |
| 1.1.(a) amobarbital | 0 | n/a |
| 1.1.(b) amobarbital sodium salt | 0 | n/a |
| 1.1.(c) pentobarbital | 10 mg and 6 ml | laboratories |
| 1.1.(d) pentobarbital sodium salt | 328.8 l and 6 x 100 ml | veterinarian use |
| 1.1.(e) secobarbital | 0 | n/a |
| 1.1.(f) secobarbital sodium salt | 0 | n/a |

|  |  |  |
| --- | --- | --- |
| 1.1.(g) thiopental | 52 000 vials | medical use |
| 1.1.(h) thiopental sodium salt | 1 452 000 vials and 70.475 kg | traders (1 100 000 vials), pharmaceutical industry (40 kg), hospitals and medical use |
| 1.1 Other barbiturate anaesthetic agent | 0 | 0 |
| Mixtures mentioned in the Annex IV note[[7]](#footnote-7) | 0 | n/a |

Annex 4

Reported destinations[[8]](#footnote-8) of authorized exports in 2017, by product category

|  |  |
| --- | --- |
| *Destinations of EU exports 2017* |  |
| Annex III entry |  |
| 1.1 Shackles | Andorra, Argentina, Australia, Costa Rica, Russia, Switzerland, United States |
| 1.2 Individual cuffs or rings | Australia |
| 1.3 Spit hoods | n/a |
| 2.1 Portable electric discharge weapons  | Andorra, Bosnia and Herzegovina, Montenegro, New Caledonia, Nigeria, Serbia |
| 2.2 Kits for assembly of 2.1 | n/a |
| 2.3 Wide area electric discharge weapons | n/a |
| 3.1 Portable chemical substance weapons/equipment  | Andorra, Bangladesh, Bosnia and Herzegovina, Cambodia, Chile, Costa Rica, Democratic Republic of the Congo, Ghana, India, Japan, Lebanon, Montenegro, Namibia, Niger, Nigeria, Serbia, South Africa, Switzerland, Taiwan, Tunisia, Ukraine, Uruguay, United States, Venezuela |
| 3.2 PAVA | Anguilla, Australia, Bermuda, Brazil, Falkland Islands, India, South Korea, Russia, Singapore, South Africa, Switzerland, Taiwan, Turkey, United Arab Emirates |
| 3.3 Oleoresin capsicum | China, Dutch Caribbean territory, India, Kenya, Nigeria, Norway, Singapore, South Africa, Switzerland, Ukraine, United States  |
| 3.4 Pepper spray | Russian Federation, Switzerland, Turkey, United States  |
| 3.5 Fixed chemical substance equipment  | n/a |
| 3.6 Wide area chemical substance equipment | n/a |
| **Annex IV entry** |  |
| 1.1.(a) amobarbital | South Africa, Switzerland |
| 1.1.(b) amobarbital sodium salt | n/a |
| 1.1.(c) pentobarbital | Norway, South Africa, Switzerland |
| 1.1.(d) pentobarbital sodium salt | Australia, China, Canada, Malaysia |
| 1.1.(e) secobarbital | Mexico, South Africa, Switzerland |
| 1.1.(f) secobarbital sodium salt | n/a |
| 1.1.(g) thiopental | Angola, Bosnia and Herzegovina, Costa Rica, Guinea-Bissau, Mauritania, Mexico, Serbia, South Africa |
| 1.1.(h) thiopental sodium salt | Algeria, Bangladesh, Bolivia, Central African Republic, China (Hong Kong), Côte d’Ivoire, Equatorial Guinea, Gabon, Gambia, Guyana, Haiti, India, Iran, Iraq, Jordan, Lebanon, Liberia, Qatar, Sierra Leone, Singapore, South Sudan, Switzerland, Syria, Togo, Turkey, Yemen |
| Other or no Annex IV specification | Argentina, Australia, Bangladesh, Canada, Chile, Colombia, Cuba, Ecuador, Egypt, Ghana, Indonesia, Iran, Israel, Japan, Jordan, Kenya, Libya, Mexico, New Zealand, Norway, Oman, Pakistan, Peru, South Korea, Saudi Arabia, South Africa, Sudan, Switzerland, Turkey, Uganda, Uruguay |

Annex 5

Reported destinations[[9]](#footnote-9) of authorized exports in 2018, by product category

|  |  |
| --- | --- |
| *Destinations of EU exports 2018* |  |
| Annex III entry |  |
| 1.1 Shackles | Bahrain, Botswana, Montenegro, New Zealand, Switzerland, United States  |
| 1.2 Individual cuffs or rings | UAE |
| 1.3 Spit hoods | n/a |
| 2.1 Portable electric discharge weapons  | Azerbaijan, Bosnia and Herzegovina, Dutch Caribbean territory, Moldova, Nigeria, Saudi Arabia, Serbia |
| 2.2 Kits for assembly of 2.1 | n/a |
| 2.3 Wide area electric discharge weapons | n/a |
| 3.1 Portable chemical substance weapons/equipment  | Andorra, Argentina, Armenia, Azerbaijan, Bosnia and Herzegovina, Central African Republic, Ethiopia, India, Israel, Japan, Jordan, Kosovo[[10]](#footnote-10), Liberia, Moldova, Montenegro, Niger, Nigeria, Saudi Arabia, Serbia, Somalia, South Africa, Switzerland, Taiwan, Tanzania, United Arab Emirates, Ukraine, United States  |
| 3.2 PAVA | Australia, Brazil, India, South Korea, Russia, South Africa, Switzerland, United Arab Emirates |
| 3.3 Oleoresin capsicum | Andorra, Argentina, Australia, Dutch Caribbean territory, Japan, Kenya, Russian Federation, Singapore, South Africa, Switzerland, Tunisia, Ukraine |
| 3.4 Pepper spray | China, Russia, Singapore, Switzerland, Ukraine, United States  |
| 3.5 Fixed chemical substance equipment  | n/a |
| 3.6 Wide area chemical substance equipment | n/a |
| **Annex IV entry** |  |
| 1.1.(a) amobarbital | n/a |
| 1.1.(b) amobarbital sodium salt | n/a |
| 1.1.(c) pentobarbital | Saudi Arabia, Serbia, United States  |
| 1.1.(d) pentobarbital sodium salt | Belize, Canada, China, Kosovo, Malaysia  |
| 1.1.(e) secobarbital | Saudi Arabia |
| 1.1.(f) secobarbital sodium salt | n/a |
| 1.1.(g) thiopental | Bosnia and Herzegovina, Serbia |
| 1.1.(h) thiopental sodium salt | Albania, Algeria, Argentina, Bangladesh, Belize, Central African Republic, Chad, Côte d’Ivoire, Georgia, Ghana, Guinea-Conakry, Haiti, Iran, Iraq, Lebanon, Malawi, Niger, Nigeria, Saudi Arabia, Sierra Leone, Singapore, South Africa, South Sudan, Sudan, Switzerland, Syria, Tajikistan, Timor Leste, Togo, Turkey |
| Other or no Annex IV specification | Argentina, Australia, Canada, Chile, Colombia, Cuba, Ethiopia, Iran, Kenya, Malaysia, Norway, Peru, South Korea, South Africa, Suriname, Switzerland, Turkey, Zimbabwe |

1. The Union General Export Authorisation (Annex V to Regulation (EU) 2019/125) is not an authorisation granted by a Member State. [↑](#footnote-ref-1)
2. Note that one Member State has not provided information on the number or quantities and the categories of end-users. [↑](#footnote-ref-2)
3. For quantities a specific measurement is given. [↑](#footnote-ref-3)
4. Products containing one of the anaesthetic agents listed under short or intermediate acting barbiturate anaesthetic agents. [↑](#footnote-ref-4)
5. Note that one Member State has not provided information on the number or quantities and the categories of end-users. [↑](#footnote-ref-5)
6. For quantities a specific measurement is given. [↑](#footnote-ref-6)
7. Products containing one of the anaesthetic agents listed under short or intermediate acting barbiturate anaesthetic agents. [↑](#footnote-ref-7)
8. The term Dutch Caribbean territory refers to the Dutch territories not included in the customs territory of the Union, *i.e.* Aruba, Bonaire, Curaçao, Saba, Sint Eustatius and Sint Maarten. [↑](#footnote-ref-8)
9. The term Dutch Caribbean territory refers to the Dutch territories not included in the customs territory of the Union, *i.e.* Aruba, Bonaire, Curaçao, Saba, Sint Eustatius and Sint Maarten. [↑](#footnote-ref-9)
10. This designation is without prejudice to positions on status, and is in line with UN Security Council Resolution 1244 (1999) and the ICJ Opinion on the Kosovo declaration of independence. [↑](#footnote-ref-10)