

Brussels, 31.10.2022 COM(2022) 567 final

ANNEXES 1 to 8

## **ANNEXES**

to the

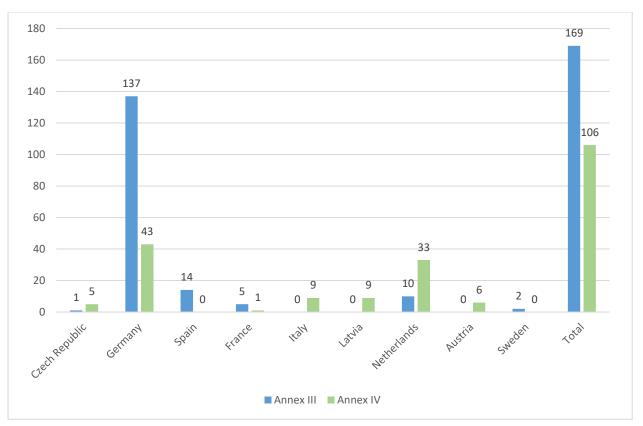
## REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL

on export authorisations in 2021 pursuant to the Regulation concerning trade in certain goods which could be used for capital punishment, torture or other cruel, inhuman or degrading treatment or punishment

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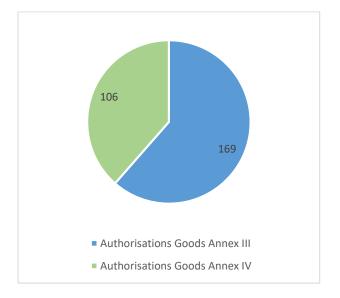
Annex 1:

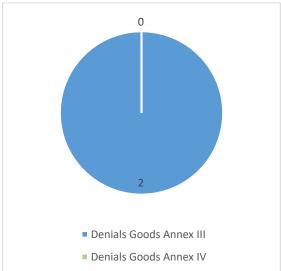
Number of reported export authorisations issued by Member States pursuant to Article 20(2) of Regulation (EU) 2019/125 by Annex entry



Annex 2:

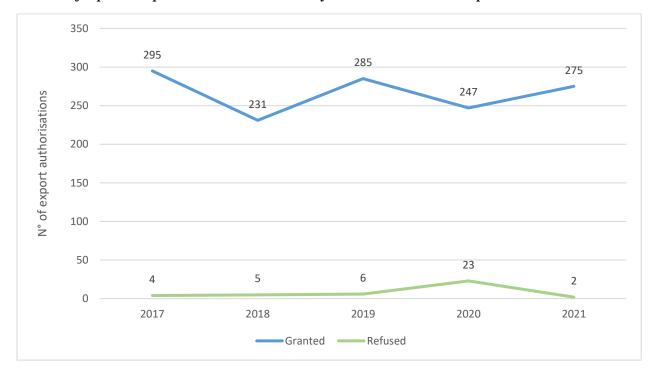
Number of reported export authorisations and denials by Annex entry



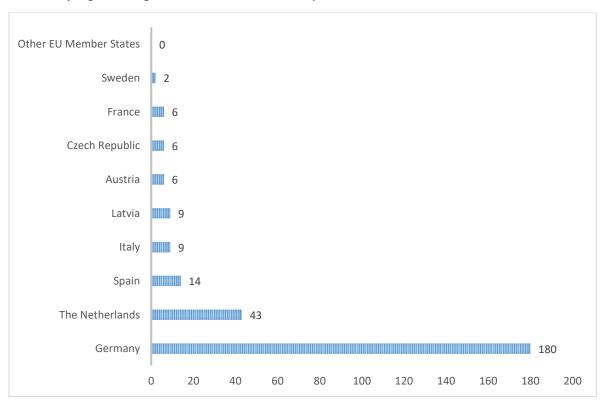


Annex 3:

Number of reported export authorisations issued by Member States over the period 2017–2021

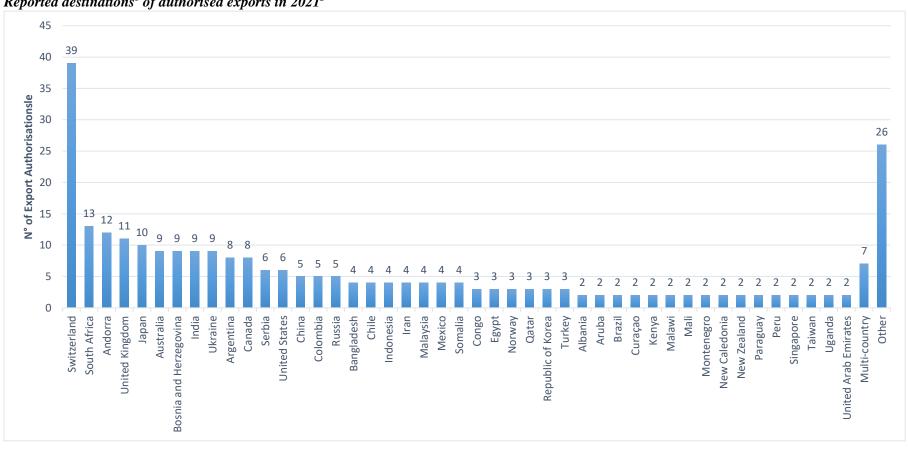


Annex 4:
Number of reported export authorisations issued by Member States



Annex 5:

Reported destinations<sup>1</sup> of authorised exports in 2021<sup>2</sup>

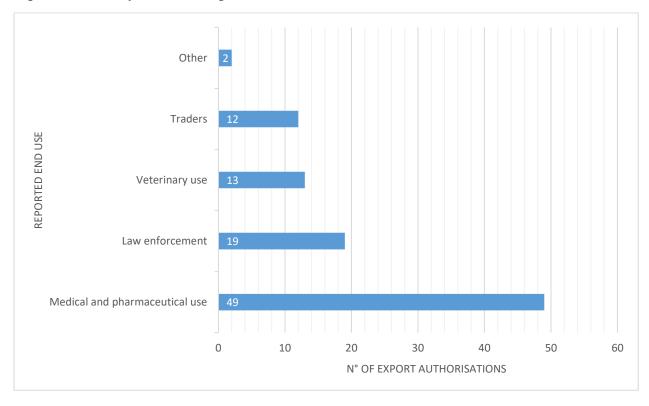


<sup>1</sup> If a particular name is used in the list of destinations, it should not be construed as going beyond referring to a (customs) territory commonly known by that name.

<sup>&</sup>lt;sup>2</sup> 'Other destinations' include those to which only one export authorisation was reported, namely: Algeria, Angola, Bonaire, Djibouti, East-Timor, Eritrea, Fiji, Ghana, Iraq, Israel, Jordan, Kazakhstan, Kosovo (this designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence), Kuwait, Lebanon, Liberia, Namibia, Niger, North Macedonia, Pakistan, Sao Tomé en Principe, Sierra Leone, Sint Maarten, Tanzania, Tunisia, Uzbekistan. 'Multi-country destinations' refer to those authorisations issued once for one same item exported to more than one country.

Annex 6:

Reported end-use of authorised exports to third countries in 2021<sup>3</sup>



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<sup>&</sup>lt;sup>3</sup> The number of export authorisations does not match the number referred to in the previous Annexes, as not all authorities in the Member States stipulate the end-use.

Annex 7:

Overview of reported authorised exports of Annex III goods in 2021

Code	Product Annex III	Destination	End use	Competent authority
1.1	Shackles and gang chains (except ordinary handcuffs)	Montenegro (1), Switzerland (5), United States (3), United Kingdom (2)	End use not stipulated	DE
2.1	Portable electric discharge weapons, including but not limited to electric shock batons, electric shock shields, stun guns and electric shock dart guns	Andorra (1)	Traders	FR
		United States (2), Multi-country (Australia, Iceland, Norway, United Kingdom, United States, Switzerland) (1)	Traders (United States), Law enforcement (Multi-country)	NL
		Andorra (1)	Law enforcement	ES
2.2	Kits containing all essential components for assembly of portable electric discharge weapons	United States (1)	Traders	ES
3.1	Portable weapons and equipment for administration or dissemination of a dose of an incapacitating or irritating chemical substance.	United Arab Emirates (1)	Traders	CZ
		Andorra (7), Bangladesh (1), Bosnia and Herzegovina (4), Iran (1), Japan (6), Jordan (1), Kosovo* (1), Mexico (1), Montenegro (1), Namibia (1), New Caledonia (1), North Macedonia (1), Norway (3), Paraguay (2), Switzerland (17),	End use not stipulated	DE

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<sup>\*</sup> This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of Independence.

		Serbia (3), South Africa (9), Taiwan (1), Turkey (1), Ukraine (1), United Kingdom (3)		
		Andorra (1), Democratic Republic of Congo (1), Niger (1)	Law enforcement, Traders (Andorra)	FR
		Multi-country (Aruba, Bonaire, Saba, Sint Eustatius, Curaçao, Sint Maarten) (1), Multi-country (Bonaire, Saba, Sint Eustatius), (2), Sint Maarten (1), Curaçao (1)	Law enforcement	NL
		Kuwait (1), Democratic Republic of Congo (1), Qatar (3), Andorra (1), Peru (1), Bosnia and Herzegovina (2)	Law enforcement, Traders (Andorra)	ES
		Multi-country (United States, Switzerland) (2)	Other	SE
3.2	Pelargonic acid vanillylamide (PAVA)	Albania (1), Australia (3), Brazil (1), India (3), Japan (2), Kazakhstan (1), Pakistan (1), Republic of Korea (2), Russia (2), Switzerland (1), South Africa (2), Taiwan (1), China (4)	End use not stipulated	DE
3.3	Oleoresin capsicum (OC)	Argentina (1), Kenya (1), Switzerland (5), Tunisia (1), Ukraine (2), United Arab Emirates (1), United Kingdom (1)	End use not stipulated	DE

		Indonesia (2)	Other	NL
		Chile (2)	Law enforcement	ES
3.4	3.4 Mixtures containing at least 0.3 % of PAVA by weight or OC and a solvent (pepper spray)	India (1), Japan (1), Russia (1), Switzerland (7), Singapore (2), South Africa (1), Turkey (1), Ukraine (5), Uzbekistan (1), United Kingdom (3), China (1)	End use not stipulated	DE
		New Caledonia (1)	Traders	FR
		Andorra (1)	Traders	ES

Annex 8:

Overview of reported authorised exports of Annex IV goods in 2021

Code	Product Annex IV	Destination	End use	Competent authority
1.1 (d)	Pentobarbital sodium salt (CAS 57-33-0)	United Kingdom (1)	Veterinary use	FR
		Canada (5), Australia (1), Malaysia (3), Curaçao (1), Aruba (2)	Veterinary use	NL
1.1 (g)	Thiopental (CAS RN 76-75-5)	Bosnia-Herzegovina (3), Serbia (3)	Medical and pharmaceutical use	AT
1.1 (h)	Thiopental sodium salt (CAS RN 71-73-8), also known as thiopentone sodium	Albania (1), Lebanon (1), Algeria (1), Iraq (1), Iran (1)	Medical and pharmaceutical use, Traders (Iraq)	CZ

		Bangladesh (1), Ukraine (1), Mexico (1), Brazil (1), Argentina (3), Turkey (1)	Medical and pharmaceutical use	IT
		India (5), Bangladesh (2), Russia (2)	Medical and pharmaceutical use	LV
		Sao Tomé en Principe (1), Djibouti (1), Congo (1), Fiji (1), Bonaire (1), Angola (1), Mali (2), Tanzania (1), Malawi (2), Sierra Leone (1), Liberia (1), Eritrea (1), East Timor (1), Ghana (1), Israel (1), Somalia (4)	Medical and pharmaceutical use	NL
1.1	Other short and intermediate acting barbiturate anaesthetic agents	Egypt (3), Argentina (4), Australia (5), Chile (2), Indonesia (2), Iran (2), Japan (1), Canada (3), Colombia (5), Malaysia (1), Mexico (1), New Zealand (2), Kenya (1), Peru (1), Republic of Korea (1), Switzerland (4), South Africa (1), Uganda (2), United Kingdom (1), Multi- country (Kenya, Namibia, South Africa, Zimbabwe) (1)	End use not stipulated	DE
		Mexico (1)	Medical and pharmaceutical use	IT